

IFCw



PTO/SB/21 (09-04)

Approved for use through 07/31/2006. OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission	6	Attorney Docket Number	SEQ-4068-UT
--	---	------------------------	-------------

ENCLOSURES (Check all that apply)			
<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment/Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input checked="" type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Reply to Missing Parts/ Incomplete Application <input type="checkbox"/> Reply to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation <input type="checkbox"/> Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____ <input type="checkbox"/> Landscape Table on CD	<input type="checkbox"/> After Allowance Communication to TC <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input type="checkbox"/> Other Enclosure(s) (please identify below):	Remarks SB08 - 1 page cover letter - 2 pages return post card - 1 page transmittal form in duplicate - 2pages

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm Name	BioTechnology Law Group		
Signature			
Printed name	Bruce Grant		
Date	19 SEPT. 2005	Reg. No.	47,608

CERTIFICATE OF TRANSMISSION/MAILING

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below:

Signature	
Typed or printed name	Bruce Grant
	Date 19 SEPT. 2005

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

SEP 23 2005

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: ROTH et al

Serial No.: 10/723,518

Filed: November 25, 2003

Title: METHODS FOR IDENTIFYING RISK OF BREAST CANCER AND
TREATMENTS THEREOF

Examiner: SITTON, Jehanne Souaya

Group Art Unit: 1634

Docket: SEQ-4068-UT

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Mail Stop Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir/Madam,

In compliance with the duty under 37 C.F.R. § 1.56, and in accordance with 37 C.F.R. §§ 1.97 *et. seq.*, the enclosed materials are brought to the Examiner's attention for consideration in connection with the above-identified patent application. Applicants respectfully request that this Supplemental Information Disclosure Statement be entered and the documents listed on the attached Form SB08A be considered by the Examiner and made of record. Pursuant to MPEP 609, Applicants request that a copy of the SB08A form, initialed as being considered by the Examiner, be returned to the Applicants with the next official communication. Applicants also bring to the Examiner's attention the existance of the following co-pending related applications: (1) application no. 10/723,142 (Attorney Docket: SEQ-4065-UT), November 25, 2003, (2) application no. 10/723,670 (Attorney Docket: SEQ-4067-UT), filed November 25, 2003, (3) application no. 10/723,681 (Attorney Docket: SEQ-4069-UT), filed November 25, 2003, (4) application no. 10/857,780 (Attorney Docket: SEQ-4069-CP), filed May 27, 2004, (5) application no. 10/722,939 (Attorney Docket: SEQ-4071-UT), filed November 25, 2003, and (6) application no. 10/723,683 (Attorney Docket: SEQ-4072-UT), filed November 25, 2003.

INFORMATION DISCLOSURE STATEMENT

Serial No.: 10/723,518

Filing Date: November 25, 2003

Title: METHODS FOR IDENTIFYING RISK OF BREAST CANCER AND TREATMENTS THEREOF

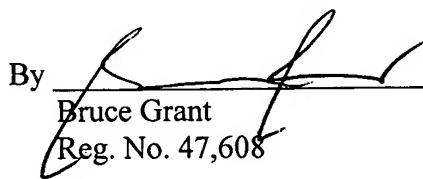
Page 2
Dkt: SEQ-4068-UT

The listed documents are being submitted in compliance with 37 CFR § 1.97(b), before the mailing date of the first office action on the merits. Accordingly, it is respectfully submitted no fees are due.

The Examiner is invited to contact the Applicants' Representative at the below-listed telephone number if there are any questions regarding this communication.

Respectfully submitted,

Date 19 Sept. 2005
BioTechnology Law Group
658 Marsolan Ave.
Solana Beach, CA 92075
Telephone: (858) 623-9470
Fax: (858) 623-9476
Email: bruce@biotechnologylawgroup.com

By 
Bruce Grant
Reg. No. 47,608

OCT
SEP 28 2005

1445

PTO/SB/08A(08-03)
 Approved for use through 07/31/2006. OMB 0651-0031
 Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.
 US Patent & Trademark Office: U.S. DEPARTMENT OF COMMERCE

Substitute Disclosure Statement Form 1449A/PTO
INFORMATION DISCLOSURE STATEMENT BY APPLICANT
(Use as many sheets as necessary)

Complete if Known

Application Number	10/723,518
Filing Date	November 25, 2003
First Named Inventor	Roth, Richard
Group Art Unit	1634
Examiner Name	SITTON, Jehanne Souaya

Sheet 1 of 1

Attorney Docket No: SEQ-4068-UT

US PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	USP Document Number	Publication Date	Name of Patentee or Applicant of cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	A1.	US 5,547,835	Aug-96	Koster	
	A2.	US 5,605,798	Feb-97	Koster	
	A3.	US 5,691,141	Nov-97	Koster	
	A4.	US 5,849,542	Dec-98	Reeve et al.	
	A5.	US 5,869,242	Feb-99	Kamb	
	A6.	US 5,928,906	Jul-99	Koster et al.	
	A7.	US 6,043,031	Mar-00	Koster et al.	
	A8.	US 6,194,144	Feb-01	Koster	
	A9.	US 6,258,538	Jul-01	Koster et al.	
	A10.				

FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Foreign Document No	Publication Date	Name of Patentee or Applicant of cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ²
	A11.					

OTHER DOCUMENTS -- NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
	A12.	Elbashir et al., Methods 26(2):199-213 (2002)	
	A13.	Forbes, Seminars in Oncology, 24(1)Suppl.1:S1-20 - S1-35 (1997)	
	A14.	Ford et al., British J. Cancer, 72:805-812 (1995)	
	A15.	Kim et al., Biochem. Biophys. Res. Commun., 281:1106-1112 (2002)	
	A16.	Miki et al., Science, 266:66-71 (1994)	
	A17.	Wooster et al., Science, 265:2088-2090 (1994)	

EXAMINER**DATE CONSIDERED**

Substitute Disclosure Statement Form (PTO-1449)

* EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 1 Applicant's unique citation designation number (optional) 2 Applicant is to place a check mark here if English language Translation is attached